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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Benyong Zhang

Application No.

10/694,450

Filed

October 27, 2003

For

METHOD AND SYSTEM FOR PROVIDING A PHASE-

LOCKED LOOP WITH REDUCED SPURIOUS TONES

Group No.

2816

Examiner

Minh T. Nguyen

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents and publications herein are listed below and on the attached Forms PTO/SB/08A & B. Copies of the listed publications are submitted herewith.

U.S. Patent No.	<u>Inventor</u>	<u>Date</u>
3,928,813	Kingsford-Smith	December 23, 1975
4,204,174	King	May 20, 1980
4,206,425	Nossen	June 3, 1980
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**DOCKET NO.: P05719 APPLICATION NO.: 10/694,450** 

**PATENT** 

U.S. Patent No.	<u>Inventor</u>	<u>Date</u>
4,609,881	Wells	September 2, 1986
4,815,018	Reinhardt et al.	March 21, 1989
5,038,120	Wheatley et al.	August 6, 1991
5,124,670	Lawton	June 23, 1992
5,920,233	Denny	July 6, 1999

## <u>Publications</u>

Tadao Nakagawa et al., "A Phase Noise Reduction Technique for MMIC Frequency Synthesizers that Uses a New Pulse Generator LSI", IEEE Transactions on Microwave Theory and Techniques, Vol. 42, No. 12, December 1994, Pp. 2579-2582.

Tom A. D. Riley et al., "Delta-Sigma Modulation in Fractional-N Frequency Synthesis", IEEE Journal of Solid-State Circuits, Vol. 28, No. 5, May 1993, Pp. 553-559.

Brian Miller et al., "A Multiple Modulator Fractional Divider" Forty-Fourth Annual Symposium on Frequency Control, IEEE, 1990, Pp. 559-568.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

This Information Disclosure Statement is being transmitted after the mailing date of the first Office Action on the merits. Therefore, Applicant encloses a check in the amount of \$180.00 for the Information Disclosure Statement filing fee.

DOCKET No.: P05719 APPLICATION No.: 10/694,450 PATENT

Respectfully submitted,

DAVIS MUNCK, P.C.

William A. Munck Registration No. 39,308

Date: <u>Hug, 3, 24</u>

P.O. Drawer 800889 Dallas, Texas 75380

Phone: (972) 628-3600 Fax: (972) 628-3616

Email: wmunck@davismunck.com

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE duction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/694,450 Filing Date October 27, 2003 INFORMATION DISCLOSURE First Named Inventor Benyong Zhang STATEMENT BY APPLICANT Art Unit 2816 (Use as many sheets as necessary) Examiner Name Minh T. Nguyen Sheet 1 Attorney Docket Number P05719

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
_	AA	<sup>US-</sup> 3,928,813	12/23/1975	Kingsford-Smith	
	AB	<sup>US-</sup> 4,204,174	05/20/1980	King	
	AC	<sup>US-</sup> 4,206,425	06/03/1980	Nossen	
	AD	<sup>US-</sup> 4,609,881	09/02/1986	Wells	
	AE	<sup>US-</sup> 4,815,018	03/21/1989	Reinhardt et al.	
	AF	<sup>US-</sup> 5,038,120	08/06/1991	Wheatley et al.	
	AG	<sup>US-</sup> 5,124,670	06/23/1992	Lawton	
	AH	<sup>US-</sup> 5,920,233	07/06/1999	Denny	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite Foreign Patent Document	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	, pp. com or once of our or	Or Relevant Figures Appear	Т
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Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO	Complete if Known		
Substitution (String)	Application Number	10/694,450	
INFORMATION DISCLOSURE	Filing Date	October 27, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Benyong Zhang	
(Use as many sheets as necessary)	Art Unit	2816	
(000 as many sheets as necessary)	Examiner Name	Minh T. Nguyen	
Sheet 2 of 2	Attorney Docket Number	P05719	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	ВА	Tadao Nakagawa et al., "A Phase Noise Reduction Technique for MMIC Frequency Synthesizers that Uses a New Pulse Generator LSI", IEEE Transactions on Microwave Theory and Techniques, Vol. 42, No. 12, December 1994, Pp. 2579-2582.	
	ВВ	Tom A. D. Riley et al., "Delta-Sigma Modulation in Fractional-N Frequency Synthesis", IEEE Journal of Solid-State Circuits, Vol. 28, No. 5, May 1993, Pp. 553-559.	
	вс	Brian Miller et al., "A Multiple Modulator Fractional Divider" Forty-Fourth Annual Symposium on Frequency Control, IEEE, 1990, Pp. 559-568.	

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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